

Predictive Controller for Load Management on Isolated Photovoltaic Power System

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Abstract—This paper present a novel predictive control system, implementable in an embedded system, that manage consumption of an isolated photovoltaic system. To solve the problem a model of the power system that allow the estimation of the input and output power was obtained, as well an algorithm to estimate the SoC^1 of the batteries.

Index Terms—state of charge, model predictive controller, Extended Kalman Filter.

I. INTRODUCTION

Since isolated photovoltaic power systems depends exclusively of solar energy and due to the unpredictable nature of the solar irradiation and power consumption, the charge of the batteries could be totally consumed, therefore, a control system that manages the power consumption inside the school is needed, mainly to maintain a minimum charge² in the battery bank, so the lifetime of the bank is not compromised³ and energy is reserved for priority loads. It is important to mention that, the control system must be easily implementable in a low-cost micro-controller.

II. PROBLEM SOLUTION AND CONTROL ARCHITECTURE

In figure 1 the general control architecture is shown. The signals available from the PV system (Process), are the voltage and current of the battery bank ($V_b(k)$, $I_b(k)$), the DC input voltage and current (V_{in} , I_{in}) and the output voltage and current (V_{out} , I_{out}). Using both input and output signals power generated (P_{in}) and load consumption (Q_l) are calculated to be used in the management system.

The Estimator block used the battery voltage and current, to estimate the SoC of the battery bank, blocks P_{inest} and Q_{lest} used past data of P_{in} and Q_l respectively, to estimate the next N samples of power. The control signal $U_{load}(k)$, is an n_{load} ⁴ logic signal which enable or disable the loads inside the school. Finally the controller is a simplified MPC that chooses, based on the actual SoC and the power predictions, which loads should be disable in order to maintain a minimum SoC .

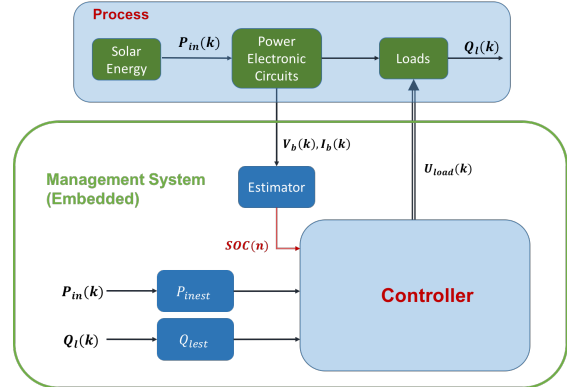


Fig. 1. General control architecture

It should be noted that a current sensor to calculate the individual consumption of each load could be used, nevertheless, costs of implementation can be significantly increased due to the high costs of AC current sensor as well as the loss of flexibility in the implementation of the control.

III. BATTERY BANK MODELLING AND STATE OF CHARGE ESTIMATION

This chapter describes the mathematical model used for the battery bank and the SoC estimation algorithm. An equivalent circuit was chosen [4],[5]), since it enables the use of continuous difference equation; therefore a Kalman filter can be apply to estimate the SoC [6]. Other types of models like switched and hybrid models do not permit this, due to numerical discontinuities that occur when a transition between different states is made.

A. Battery equivalent circuit

An equivalent circuit model of a battery is taken from figure 2. The capacitor C_b , models the rated capacity of the battery, the resistor R_o , represents the battery opposition to energy flow and the RC network (R_s and C_s) models the dynamic response of the battery. Finally the voltage controlled source $V_{oc}(SoC)$, models the nonlinear relationship between the state of charge, and the open-circuit voltage. Applying voltage,

¹state of charge

²Also known as state of charge [%], from now on written as SoC

³Lead-acid batteries lifetime is inversely proportional to the depth of discharge

⁴number of groups of loads

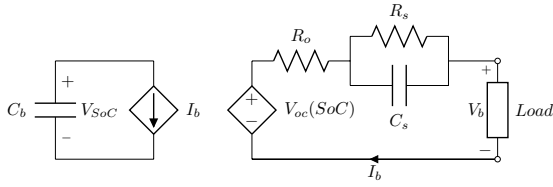


Fig. 2. Battery equivalent circuit model

current and element laws for the circuit shown in figure 2, the following equations were obtain:

$$V_b = V_{oc}(SoC) - I_b \cdot R_o - V_s \quad (1)$$

$$I_b = \frac{V_s}{R_s} + C_s \cdot \dot{V}_s \quad (2)$$

$$I_b = -C_b \cdot \dot{SoC} \quad (3)$$

Rearranging terms:

$$\dot{V}_s = \frac{V_s}{R_s} + C_s \cdot R_s \quad (4)$$

$$S\dot{o}C = -\frac{I_b}{C_b} \quad (5)$$

In order to discretize the model, Euler approximation is used:

$$\frac{df}{dt} = \frac{f(k+1) - f(k)}{\Delta t} = \dot{f}(t) \quad (6)$$

$$f(k+1) = \dot{f}(t) \cdot T_s + f(k) \quad (7)$$

Using equation 7 to discretize equations 4 and 5 the following is obtain:

$$V_s(k+1) = \frac{T_s}{C_s} \cdot I_b(k) + \left(1 - \frac{T_s}{R_s \cdot C_s}\right) \cdot V_s(k) \quad (8)$$

$$SoC(k+1) = SoC(k) - \frac{T_s}{Q_T \cdot 36} \cdot I_b(k) \quad (9)$$

Notice that the capacitance C_b , is replaced in equation 9, in terms of the rated capacity of the battery (Q_T [Ah]), so the following state space is defined:

$$\begin{bmatrix} SoC(k) \\ V_s(k) \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & \left(1 - \frac{T_s}{R_s \cdot C_s}\right) \end{bmatrix} \cdot \begin{bmatrix} SoC(k) \\ V_s(k) \end{bmatrix} + \begin{bmatrix} -\frac{T_s}{Q_T \cdot 36} \\ \frac{T_s}{C_s} \end{bmatrix} \cdot I_b(k) \quad (10)$$

$$y = V_b(k) = V_{oc}(SoC(k)) - I_b(k) \cdot R_o - V_s(k) \quad (11)$$

To simplify the notation the state space is written as:

$$x(k+1) = A_b \cdot x(k) + B_b \cdot I_b(k) \quad (12)$$

$$V_b(k) = V_{oc}(k, SoC) - I_b(k) \cdot R_o - V_s(k) \quad (13)$$

B. Open circuit map and hysteresis modelling

The $SoC - V_{oc}$ relationship in lead-acid batteries is not only non-linear, but also presents a high hysteresis phenomenon (figure 3). Note that (figure 2), when the battery current is equal to zero (load = ∞) and the capacitor C_s is completely discharge, the battery voltage V_b is equal to V_{oc} . So to obtain the outer curves of figure 3, a map could be constructed by applying a current pulse to the battery, and enough time is waited so the voltage is stable and $V_b = V_{oc}$. The state of charge variation due to the applied current pulse is obtained by equation 9. Finally a linear regression can be used to find a function that describes the charging (upper boundary U_{ub}) and discharging (lower boundary U_{lb}) curves.

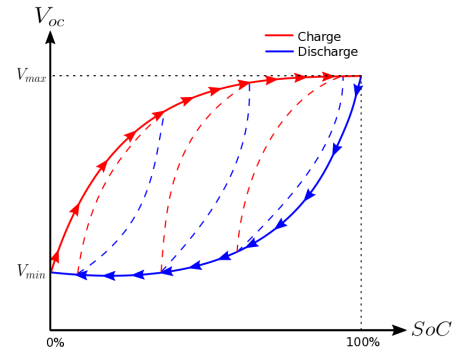


Fig. 3. Voc v.s SoC

The procedure described above, provides the curves when no variation of current direction occur from 0% to 100% of the SoC and vice versa. However, inside curves produced by partial charge and discharge, remain unknown. The following mathematical modeling is based on Thele hysteresis model [7].

The $V_{oc}(k)$ can be expressed as⁵:

$$V_{oc}(k) = U_{lb}(SoC) + U_{hyst}(k) \quad (14)$$

Where U_{hyst} is an hysteresis voltage produced by a partial charge or discharge of the battery. Note that V_{oc} is bounded by U_{lb} and U_{ub} , so:

$$U_{hyst} \in [0, U_{hyst-max}] \quad (15)$$

$$U_{hyst-max} = U_{ub}(SoC) - U_{lb}(SoC) \quad (16)$$

On the other hand, the current sum in an inner hysteresis cycle is named Q_{hyst} , which is the charge in [Ah] given or received by the battery, while the V_{oc} is evolving inside the lower and upper boundaries shown in figure 3 (dotted trajectories). Likewise U_{hyst} , Q_{hyst} only change when inner hysteresis occur, therefore it is bounded by $Q_{hyst} = 0$, and

⁵ $V_{oc}(k, SoC)$ dependency of SoC will be ignored in the notation from now on

$Q_{hyst} = Q_{hyst}^{max}$, which is the amount of charge necessary for V_{oc} to pass from U_{lb} to U_{ub} . The following equations resumed the stated above:

$$Q_{hyst}(k) = Q_{hyst}(k) - \frac{I_b \cdot T_s}{3600} \quad (17)$$

$$Q_{hyst}(k) \in [0, Q_{hyst}^{max}] \quad (18)$$

Note that, when $Q_{hyst}(k) = 0$, charge is not given by an inner hysteresis cycle so, $U_{hyst}(k) = 0$, therefore $V_{oc}(k) = U_{lb}(SoC)$. On the other hand if $Q_{hyst} = Q_{hyst}^{max}$, charge given by an hysteresis cycle is saturated, so $U_{hyst} = U_{hyst}^{max}$ and $V_{oc} = U_{lb}(SoC) + U_{hyst}^{max} = U_{ub}(SoC)$. Consequently, U_{hyst} boundaries are already known, however, how it evolves between those boundaries is left to determine. In figure 4 a full inner hysteresis cycle⁶ is shown and two additional parameters are introduced $\Delta U_{hyst-max}^{char}$ and $\Delta U_{hyst-max}^{dis}$, which are the maximum voltage deviation from the center of the hysteresis curve. Notice that the maximum deviation occurred when $Q_{hyst} = \frac{Q_{hyst}^{max}}{2}$.

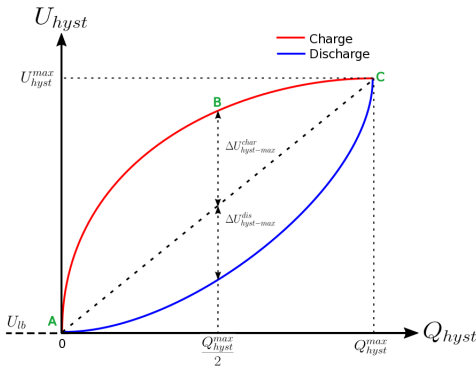


Fig. 4. U_{hyst} v.s Q_{hyst}

Assuming that all the hysteresis parameters mentioned are known, coordinates A , B and C of figure 4 can be calculated, therefore a second order polynomial regression can be applied to find the function that describes U_{hyst} evolution. The remaining problem, regards in how inner partial hysteresis behave. To illustrate this issue, figure 5 shows two possible inner partial hysteresis curves. The sequence starts in A with $U_{hyst} = 0$ and $Q_{hyst} = 0$. Since the battery is charging, then the voltage increases following A - C - B trajectory. When charging is finished at C , then the voltage decreases following C - D' - A trajectory, until it reaches E (current direction change again). From E , charging occur again, and the voltage follows the E - F - B trajectory.

According to Thele [] experimentation proved that inner curves are linearly related with the outer curves by a factor

⁶By full, it is meant that a complete transition from U_{lb} to U_{ub} or vice versa is made

dependent of Q_{hyst} . This fact, enable to calculate the intermediate points (D , D' and F , F'), Therefore, a second order lineal regression could be used to describe the actual behavior of U_{hyst} . Note that every inner charge or discharge, tend to finish in A or B respectively. Applying some basic geometrical facts the following equations could be find

$$\Delta U_{hyst}^{dis} = \Delta U_{hyst-max}^{dis} \cdot \frac{Q_{hyst}}{Q_{hyst}^{max}} \quad (19)$$

$$\Delta U_{hyst}^{char} = \Delta U_{hyst-max}^{char} \cdot \frac{Q_{hyst}^{max} - Q_{hyst}}{Q_{hyst}^{max}} \quad (20)$$

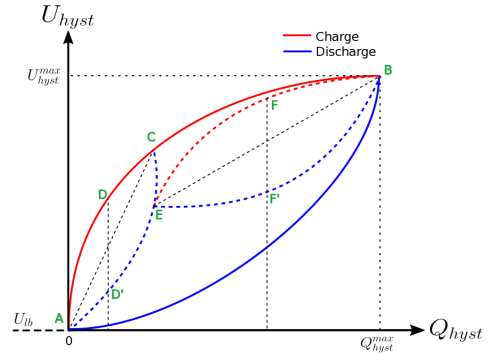


Fig. 5. U_{hyst} v.s Q_{hyst} 2

To clarify the previous model, the following algorithm describes how U_{hyst} is calculated as Q_{hyst} is changing due to the charging and discharging of the battery in the sequence shown in figure 5:

- 1) The sign of current is identified so the battery is charging, then the final point (reference) will be $B = [Q_{hyst}^{max}, U_{hyst}^{max}]$. The middle point M is calculated using ΔU_{hyst}^{dis} , that in this case is $\Delta U_{hyst-max}^{char}$ (because $Q_{hyst} = 0$).
- 2) Knowing A , B and M coordinates, $f(Q_{hyst})$ is found, using a linear regression as describes previously.
- 3) As long as the current direction does not change, U_{hyst} is calculated from $f(Q_{hyst})$ found in the previous step.
- 4) When a change of direction occur in C , a new function needs to be calculated, C is the starting point, $A = [0, 0]$ the reference point and D' is the middle point which is calculated using equation [].
- 5) Finally in point E a change of current direction is sensed, then the previous procedure is repeated using B as reference and F' as middle point.

C. Battery parameter identification and model validation

The remaining electrical parameters of the battery can be obtained as follows:

- 1) R_o : Find the instant variation of the battery voltage ΔV_b from an instant current variation ΔI_b . Then compute $R_o = \frac{\Delta V_b}{\Delta I_b}$.

2) R_s and C_s : The RC network define a non-observable state, so it must be identified using time constants. For an instant current variation ΔI_b , a voltage variation will occur. The instant variation, is determine by the series resistor R_o , then the transient response, correspond to the RC network ($\tau = 5 \cdot R \cdot C$).

Therefore, the electrical parameters could be found with the experiment described to obtain the SoC v.s V_{oc} map. In order to validate the model, a computational benchmark of a battery (Matlab) is used. This benchmark include different real behaviors such as internal resistance and rated capacity variation due to current rate and temperature. In figure 6 the voltage response to a 4 A current pulse is shown. Note that as soon as the current is zero, an instant voltage variation occur, due to R_o . The dynamic response (voltage stabilization) correspond to the RC network.

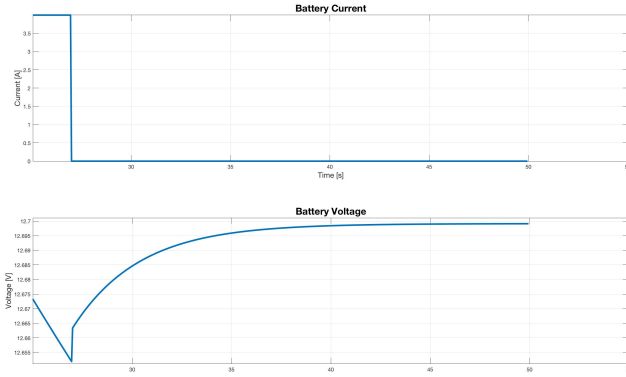


Fig. 6. Battery Voltage Response

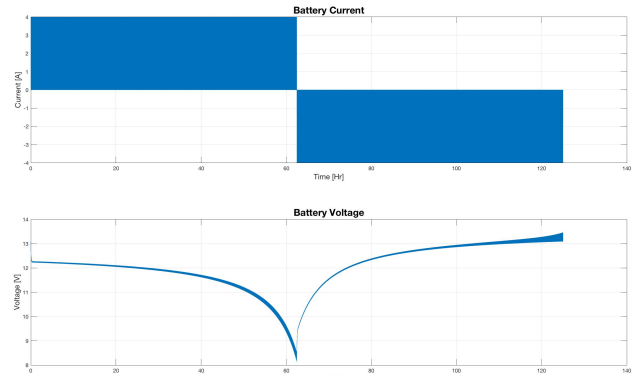


Fig. 7. Battery Voltage Response

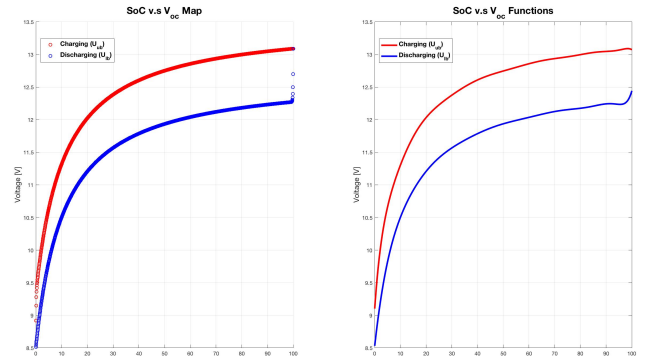


Fig. 8. SoC v.s V_{oc}

In figure 7 a series of pulses were made so the battery is completely discharge from a 100 % of SoC , and charged again, to obtain the map of figure 8. With this points a linear regression was made using a truncated Fourier 6th-order function:

$$\begin{aligned}
 U(SoC) = & a_0 + a_1 \cdot \cos(\omega \cdot SoC) + b_1 \cdot \cos(\omega \cdot SoC) \\
 & + a_2 \cdot \cos(2 \cdot \omega \cdot SoC) + b_2 \cdot \cos(2 \cdot \omega \cdot SoC) \\
 & + a_3 \cdot \cos(3 \cdot \omega \cdot SoC) + b_3 \cdot \cos(3 \cdot \omega \cdot SoC) \\
 & + a_4 \cdot \cos(4 \cdot \omega \cdot SoC) + b_4 \cdot \cos(4 \cdot \omega \cdot SoC) \\
 & + a_5 \cdot \cos(5 \cdot \omega \cdot SoC) + b_5 \cdot \cos(5 \cdot \omega \cdot SoC) \\
 & + a_6 \cdot \cos(6 \cdot \omega \cdot SoC) + b_6 \cdot \cos(6 \cdot \omega \cdot SoC)
 \end{aligned}$$

To obtain the hysteresis parameters, four inner full hysteresis trajectories were found, as shown in figure 9. Traslading to $Q_{hyst}-U_{hyst}$ space (figure 10) the parameters of figure 11 were obtain. Notice that there is a considerable difference between the parameter found for 10 % and the others, and since the batteries are not meant to work on that range, the final parameters were obtain by taking the mean of 85%, 60% and 35% (figure 12). Another option, if considerable differences were obtain for all SoC ranges, is to interpolate the parameters as a function of SoC .

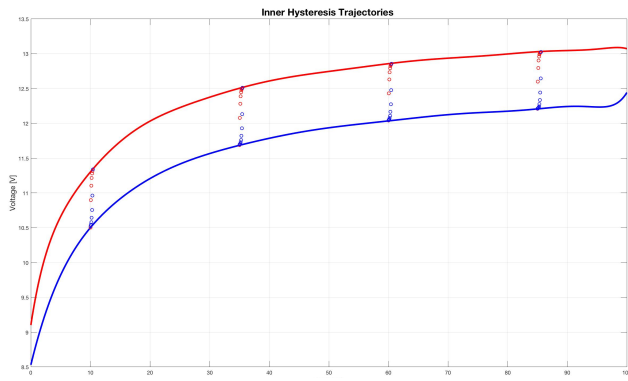


Fig. 9. Inner Hysteresis Trajectories

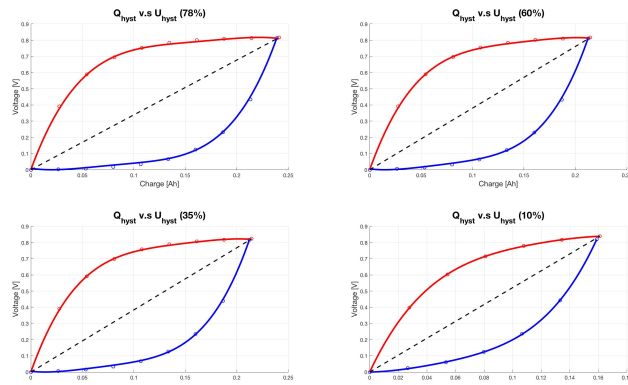


Fig. 10. UhySt Full Hysteresis Trajectories

Parameters 85%		Parameters 60%	
Parameter	Value	Parameter	Value
Q_{hyst_max}	0.2411 Ah	Q_{hyst_max}	0.2144 Ah
V_{hyst_max}	0.8166 V	V_{hyst_max}	0.8159 V
$\Delta V_{hyst_max}^{Char}$	0.3567 V	$\Delta V_{hyst_max}^{Char}$	0.3422 V
$\Delta V_{hyst_max}^{Dis}$	0.3591 V	$\Delta V_{hyst_max}^{Dis}$	0.3388 V
Parameters 35%		Parameters 10%	
Parameter	Value	Parameter	Value
Q_{hyst_max}	0.2144 Ah	Q_{hyst_max}	0.1611 Ah
V_{hyst_max}	0.8226 V	V_{hyst_max}	0.8389 V
$\Delta V_{hyst_max}^{Char}$	0.3423 V	$\Delta V_{hyst_max}^{Char}$	0.2938 V
$\Delta V_{hyst_max}^{Dis}$	0.3387 V	$\Delta V_{hyst_max}^{Dis}$	0.2741 V

Fig. 11. Hysteresis parameters obtain for different state of charge ranges

Mean Parameters	
Parameter	Value
Q_{hyst_max}	0.2233 Ah
V_{hyst_max}	0.8184 V
$\Delta V_{hyst_max}^{Char}$	0.3471 V
$\Delta V_{hyst_max}^{Dis}$	0.3455 V

Fig. 12. Hysteresis parameters

Finally to validate the hysteresis model, a test of current pulses was made (Figure 13), obtaining a battery voltage RMS error of 0.03 V, and a SoC error of 0.04 %.

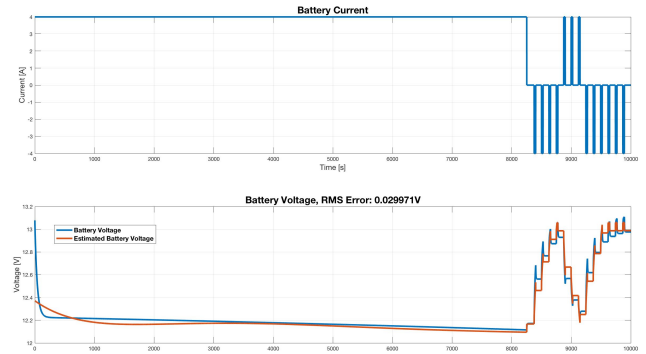


Fig. 13. Test Voltage Model

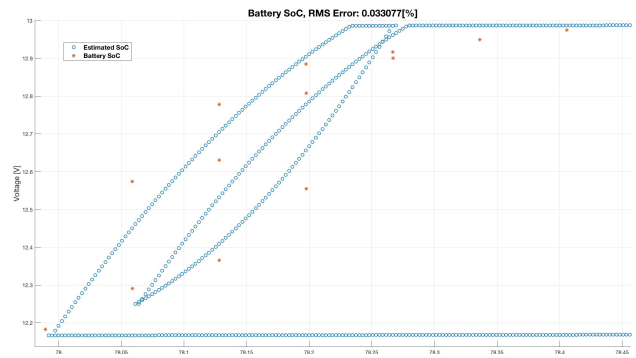


Fig. 14. Test SoC Model

D. State of Charge estimator

This section is organized as follows. The SoC estimation algorithm is derived based on an extended Kalman filter and the single battery model of equations 12, 13 and the hysteresis model explained in the previous section. Then, applying a current profile, the state of charge tracking is validated for a single battery. It is important to mention that, the hysteresis model defines a new state U_{hyst} , which defines a non-linear and time-variant model. However, it is a parameter that depends considerably from the SoC and that is commonly saturated, therefore it is treated as a parameter that is calculated online.

Retaking the circuit model equations:

$$x(k+1) = A_b \cdot x(k) + B_b \cdot I_b(k) \quad (21)$$

$$V_b(k) = V_{oc}(SoC) - I_b(k) \cdot R_o - V_s(k) \quad (22)$$

Note that the state equations are linear (without considering saturation). On the other hand, the output equation contain a

nonlinear term (V_{oc}), so linearization of the output equation is needed:

$$V_b(k) = V_{oc}(SoC) - V_s - R_o \cdot I_b(k) : F(SoC, V_s) \quad (23)$$

$$\bar{V}_b(k) = C \cdot x(k) + D \cdot u(k) \quad (24)$$

Then

$$D = \left[\frac{\partial F(SoC, V_s)}{\partial I_b} \right] = -R_o \quad (25)$$

$$C = \left[\frac{\partial F}{\partial SoC}, \frac{\partial F}{\partial V_s} \right] = \left[\frac{\partial F}{\partial SoC}, -1 \right] \quad (26)$$

$$C = \left[\frac{\partial V_{oc}(SoC)}{\partial SoC}, -1 \right] \quad (27)$$

Decomposing $V_{oc}(SoC)$:

$$V_{oc}(SoC) = U_{lb}(SoC) + U_{hyst}(Q_{hyst}) \quad (28)$$

Notice that, when U_{hyst} is saturated on its top boundary $V_{oc}(SoC) = U_{ub}(SoC)$. when U_{hyst} is zero, then $V_{oc}(SoC) = U_{lb}$. On the other hand, note that a variation of SoC produce a proportional variation of Q_{hyst} , as long as it is not saturated, so we can define:

$$\frac{\partial V_{oc}}{\partial SoC} = \begin{cases} \frac{\partial U_{lb}(SoC)}{\partial SoC} & \text{if } Q_{hyst} = 0 \\ \frac{\partial U_{ub}(SoC)}{\partial SoC} & \text{if } Q_{hyst} = Q_{hyst}^{max} \\ \frac{\partial U_{hyst}(SoC)}{\partial SoC} + \frac{\partial U_{lb}(SoC)}{\partial SoC} & \text{if } 0 < Q_{hyst} < Q_{hyst}^{max} \end{cases} \quad (29)$$

Applying a current profile, the state of charge was estimated using the previous algorithm (Figure 15), with a white measure noise with a variance of $\sigma_V = 150mV$ and $\sigma_I = 10mA$ for the battery voltage and current respectively, obtaining an *RMS* tracking error of 3.52 % for a 5.5 day simulation

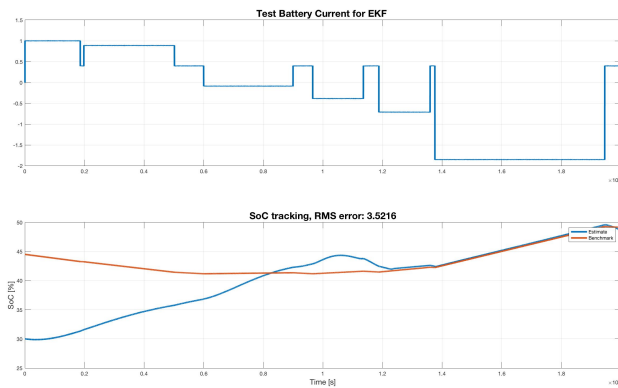


Fig. 15. *SoC* estimation for single battery

IV. PV SYSTEM MODEL AND MODEL PREDICTIVE CONTROLLER

A. PV system model and power estimation

To model the photovoltaic system, a correct functioning of the low level controllers is assumed, so a linear balance of energy is obtain. The MPPT⁷ controller, guarantee a maximum power P_{max} is being taken from the solar panels, with an efficiency η_{DC-DC} . The P_{max} parameter is specified in STC⁸, therefore it must be normalized, so the power generated by the PV system is:

$$P_{gen} = \frac{P_{max} \cdot N_{panels} \cdot \eta_{DC-DC}}{1000} \cdot R(t) \quad (30)$$

Taking into account the DC-AC converter efficiency:

$$P_{bat}(t) = \frac{Q(t)}{\eta_{DC-AC}} - P_{gen} \quad (31)$$

$$P_{bat}(t) = \frac{Q(t)}{\eta_{DC-AC}} - \frac{P_{max} \cdot N_{panels} \cdot \eta_{DC-DC}}{1000} \cdot R(t) \quad (32)$$

To estimate the power horizon (consumption and generation), a persistent⁹ model is used. In figure 16 the prediction algorithm is illustrated. Notice that the power prediction of the second horizon (N_p to $2N_p$), is equal to the real power of the first (0 to N_p). Also notice that for the first N_p time samples, there is no control action.

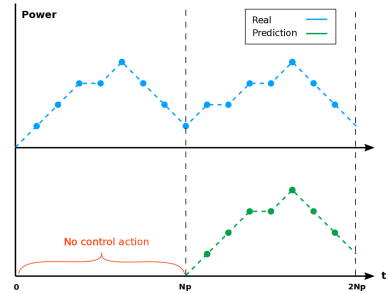


Fig. 16. Power prediction

B. Model predictive control algorithm

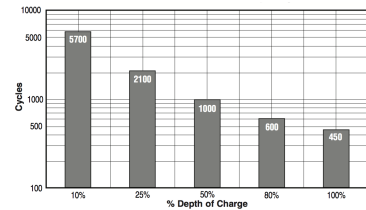


Fig. 17. Depth of Discharge Curve (image taken from [1])

⁷Maximum Power Point Tracking

⁸Standard Test Conditions

⁹A persistent model is a model that maintains the previous version of itself

Battery lifetime could be measure by the number of charge-discharge cycles, can be done until a significant deterioration of the battery is achieved. In figure 17 the depth of discharge versus lifetime is shown for a 8G40 Deka Solar gel battery. This behavior defines the importance of maintaining a minimum quantity of charge in the battery, so a minimum lifetime is guarantee. Therefore, it is necessary to reduced the consumption inside the school, which can be accomplish by disabling a group of loads [8], affecting the user comfort. Taking into account this facts, the controller's objective is to maintain a minimum $SoC = SoC_{min}$ while minimizing the load disabling inside the school.

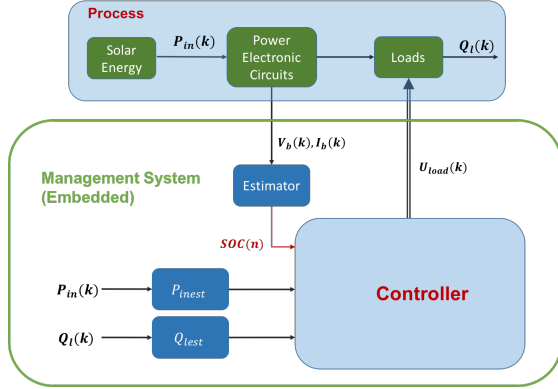


Fig. 18. General control architecture

Recalling the control architecture shown previously (figure 18), note that the control signals (U_{load}) produce a possible consumption reduction $Q_r(U_{load}(k))$, then the optimal control problem is defined:

$$\underset{U_{load}(k)}{\text{minimize}} \quad J = \sum_{k=1}^{N_p} \alpha \cdot Q_r(U_{load}(k))^2 \quad (33)$$

$$\text{subject to:} \quad SoC(k) \leq SoC_{min} \quad (34)$$

$$0 \leq Q_r(k) \leq Q_r^{max}(k) \quad (35)$$

$$SoC(k+1) = F(U_{load}(k), k) \quad (36)$$

Where $Q_r^{max}(k)$ is equal to the consumption prediction for the day Q_{lest} . To simplify the problem, the SoC restriction is replaced for a penalization term, inside the objective function as shown in equation 37

$$\underset{U_{load}(k)}{\text{minimize}} \quad J = \sum_{k=1}^{N_p} \alpha \cdot Q_r(U_{load}(k))^2 + S(SoC(U_{load})) \quad (37)$$

$$\text{subject to:} \quad SoC(k+1) = F(U_{load}(k), k) \quad (38)$$

Since the problem is an integer quadratic problem (binary), moreover the need to implement simple algorithms, a simplified optimal control problem is defined:

$$\underset{Q_r(k)}{\text{minimize}} \quad J = \sum_{k=1}^{N_p} \alpha \cdot Q_r(k)^2 + S(SoC(k)) \quad (39)$$

$$\text{subject to:} \quad SoC(k+1) = F(Q_r(k), k) \quad (40)$$

Notice that the binary control signals $U_{load}(k)$, are replaced for a direct consumption reduction $Q_r(k)$. With the optimal signal $Q_r^*(k)$ an additional algorithm is used to choose which $U_{load}(k)$ produce a consumption reduction near to the desired $Q_r^*(k)$. In figure 19, the modified control architecture of the MPC is shown. The MPC block calculates the necessary consumption Q_r to minimize the objective function of equation 40 and the "Load Selection" block, chooses which loads must be disabled or enabled.

An additional simplification is made, in order to guarantee a low computation time. The optimum solution $Q_r^*(k)$ is found by solving $SoC(k+1) = F(Q_r(k), k)$ for several signals $Q_r^i(k)$ (for N_p sample times). With the different pair of signals $[Q_r^i(k), SoC(k)^i]$ the objective function is evaluated and the minimum solution is found. The $Q_r^i(k)$ signals are choose by scaling the power prediction as follows:

$$Q_r^i(k) = \begin{bmatrix} Q_{lest} \\ 0.9 \cdot Q_{lest} \\ \vdots \\ 0.1 \cdot Q_{lest} \\ 0 \cdot Q_{lest} \end{bmatrix}$$

So a total of 11 predictions are made to find the optimal response, in each control computation.

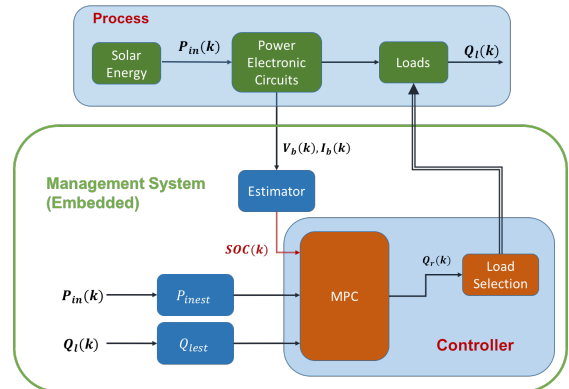


Fig. 19. Simplified control architecture

To penalize the SoC restriction an inverse SoC response to Q_r is needed so the following function is defined:

$$S(SoC) = \beta \cdot (SoC - 100)^2 + S_{lin}(SoC) \quad (41)$$

$$S_{lin}(SoC) = \begin{cases} m_{soc} \cdot SoC + b_{soc} & \text{if } SoC \leq SoC_{corner} \\ 0 & \text{if } SoC > SoC_{corner} \end{cases} \quad (42)$$

$$\text{where: } m_{soc} = \frac{-\gamma}{SoC_{corner} - SoC_{min}} \quad (43)$$

$$b_{soc} = -m_{soc} \cdot SoC_{corner} \quad (44)$$

Where γ is a weight parameter. Note that the quadratic term does not include the desired SoC_{min} , so a linear function is used as a barrier; when $SoC(k)$ is less or equal to SoC_{corner} the barrier is activated. On the other hand γ acts as a tuning parameter, with a higher γ the barrier is more restrictive and the penalization is higher. In figure 20 the shape of the $S(SoC)$ terms are shown.

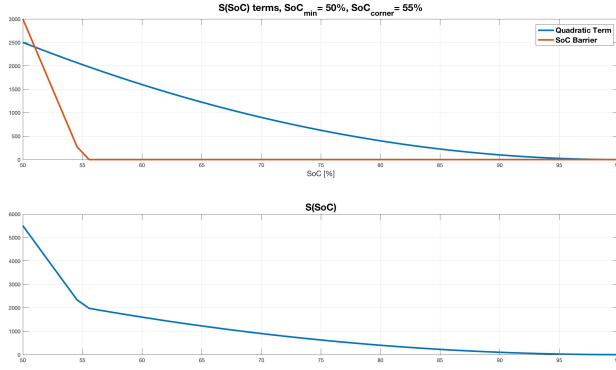


Fig. 20. $S(SoC)$

The load selection block use the following procedure to choose the loads that must be disable (When $Q_r > 0$):

- 1) The information for each group of loads is organized as follows:

$$\begin{bmatrix} n_{prio}^1 & Q_n^1 & U_{load}^1 & ID_{load}^1 \\ n_{prio}^2 & Q_n^2 & U_{load}^2 & ID_{load}^2 \\ \vdots & \vdots & \vdots & \vdots \\ n_{prio}^{n_{loads}} & Q_n^{n_{loads}} & U_{load}^{n_{loads}} & ID_{load}^{n_{loads}} \end{bmatrix}$$

Where n_{prio} is an integer that indicates the priority of the loads. Q_n is the nominal consumption for the group of loads. U_{load} indicates the actual state of the control signal for the group of loads (enable (1) or disable (0)). ID_l , is the number assigned to identified the group of loads.

- 2) The disabled loads are excluded from the list.
- 3) The matrix rows are sorted by priority (n_{prio}).

- 4) A column with the accumulated consumption for the loads and a column with the power deviation from Q_r^* are added.
- 5) The loads whose consumption deviation is smallest are selected and the control signal U_{load} is updated.

A similar procedure is followed when $Q_r^* = 0$ and loads must be enabled. To clarify the procedure an example is presented with 5 loads and $Q_r^* = 310$ [W]:

- 1) The information matrix is constructed $[n_{prio}, Q_n, U_{load}, ID_{load}]$:

$$\begin{bmatrix} 5 & 60 & 0 & 1 \\ 1 & 120 & 1 & 2 \\ 4 & 180 & 1 & 3 \\ 3 & 120 & 0 & 4 \\ 2 & 100 & 1 & 5 \end{bmatrix}$$

- 2) Loads that are already disabled by the control system are excluded:

$$\begin{bmatrix} 1 & 120 & 1 & 2 \\ 4 & 180 & 1 & 3 \\ 2 & 100 & 1 & 5 \end{bmatrix}$$

- 3) Sort the matrix by load priority:

$$\begin{bmatrix} 4 & 180 & 1 & 3 \\ 2 & 100 & 1 & 5 \\ 1 & 120 & 1 & 2 \end{bmatrix}$$

- 4) Add an extra column with the accumulated nominal consumption and consumption reduction deviation ($Q_r^* - Q_n^i$):

$$\begin{bmatrix} 4 & 180 & 1 & 3 & 180 & 130 \\ 2 & 100 & 1 & 5 & 280 & 30 \\ 1 & 120 & 1 & 2 & 400 & 90 \end{bmatrix}$$

- 5) Because the minimum consumption deviation is obtained by disabling loads 3 and 5, then:

$$U_{load} = \begin{bmatrix} 0 \\ 1 \\ 0 \\ 0 \\ 0 \end{bmatrix}$$

The MPC algorithm can be resumed as follows:

- Using the power consumption prediction Q_{lest} , calculate the signals:

$$Q_r^i(k) = \begin{bmatrix} Q_{lest} \\ 0.9 \cdot Q_{lest} \\ \vdots \\ 0.1 \cdot Q_{lest} \\ 0 \cdot Q_{lest} \end{bmatrix}$$

- For every consumption reduction $Q_r^i(k)$ calculate the $SoC(k)^i$ response (using P_{inest} , Q_{lest} and the actual estimated SoC as well).
- For every pair of signals, $[Q_r^i(k), SoC(k)^i]$ evaluate the objective function J and choose the pair $[Q_r^*(k), SoC(k)^*]$ that minimize J .
- Using the optimal signal $Q_r^*(k)$, choose the load control signal U_{load} as shown previously.

The result of the MPC algorithm and the SoC estimation for the battery bank is shown in figure 21

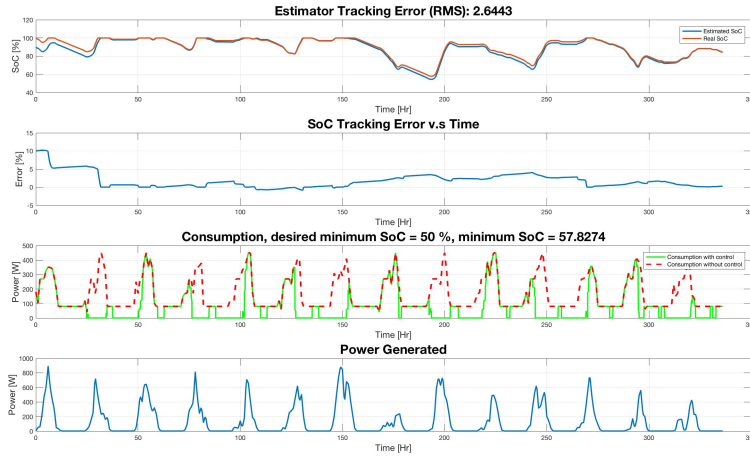


Fig. 21. Control Results

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